



FINANCIAL SERVICES PROFESSIONALS

GET INVOLVED!

Contact Committee Chairs to help shape content and programming of FSP events and resources by sending an email to FSPProfessionalsdc@gmail.com indicating which committee you would like to join.

Standing Committees and Committee Chairs:

Career Development

Todd Cranford

Planning

Quincy Enoch

Young Professionals

Cortney Coutreyer

Tealanie Baldwin

Membership

Tamara King

Darrell Rico Doss

BECOME A MEMBER!

[Click Here to Join](#)

Memberships renew annually on your anniversary date, until cancelled.

MESSAGE FROM THE CHAIRMAN OF THE BOARD

Greetings FSP! We are off and running to a great start in 2021. But first, I would be remiss to not look back at how we ended 2020. FSP welcomed then-CFTC Chairman, the Honorable Heath Tarbert, for a fireside chat. We covered a variety of issues including responsible fintech innovation and initiatives to address diversity and inclusion at the agency and in the derivatives industry. Chairman Tarbert was the second financial markets regulator to speak to FSP last year, highlighting the growing profile of our organization.



In January, FSP issued a statement congratulating the Biden-Harris Administration and acknowledging the historical importance of Vice President Kamala Harris as the first woman and person of Black and South Asian descent to serve as Vice President. The FSP statement also acknowledges the devastating impacts of the pandemic on Blacks and other minorities and condemns the domestic terror attacks on our

nation's Capital. [Link to statement.](#)

On February 25th, we hosted an event in celebration of Black History Month where we honored new Members of the Congressional Black Caucus. See details of our Black History Month celebration below.



This month, we celebrate **Women’s History Month**. We cannot underscore enough the significant roles Black women have played in the history of U.S. finance. Today, we recognize one of our own FSP members, Commissioner Sharon Bowen, who made history as the first Black Commissioner of the Commodity Futures Trading Commission. She was sworn in on June 9, 2014 and served at the CFTC until 2017. Commissioner Bowen is a partner at Seneca Women, a global leadership platform dedicated to connecting and advancing women in the economy. In addition, she serves on the Board of the Intercontinental Exchange and certain of its affiliates, including the New York Stock Exchange.

Commissioner Bowen, we salute you and all of your achievements. We also recognize your monumental efforts to advance opportunities for Blacks as a diversity trailblazer throughout your career.

I will close with this. Here is something to ponder: Why do we still have the first Black anything in 2021? There clearly is much work to be done. Join FSP and lend your voice to the fight for greater diversity in the financial services industry, both the public and private sectors. Here’s what we want: More Black people in the roles of government agency heads and division directors, more Black people in corporate C-Suites and Board rooms, mid-level management and entry level positions and more Black partners at law firms and government relations firms.

De’Ana Dow, Founder and Board Chair

FSP CELEBRATES BLACK HISTORY MONTH

In celebration of Black History Month, FSP was pleased to host an event honoring new Members of the Congressional Black Caucus, featuring special guest CBC Chairwoman and Chairwoman of the Diversity and Inclusion Subcommittee of the House Financial Services Committee, the Honorable Joyce Beatty. She kicked off the event with remarks highlighting her efforts to elevate the CBC’s profile, her appreciation for the partnership with FSP, and her recent meeting with Vice President Kamala Harris and members of the CBC in honor of Black History month. Chairwoman Beatty encouraged us and reinforced the importance of Black presence and voices in the financial services industry, emphasizing “Our Power – Our Message”. Finally, she announced that her new Chief of Staff will be Todd Valentine and the CBC staff for the 117th Congress are:

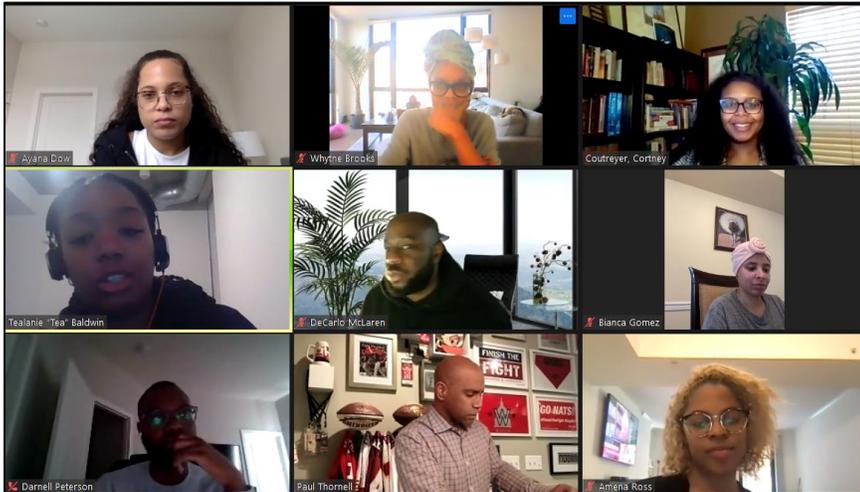


- Kyle Anderson, Executive Director
- Darrell Rico Doss, Policy Director and Counsel (and FSP board member)
- Ashlee Rossler, Director of Member Services and Counsel
- Airen Washington, Communications Director

Congressman Ritchie Torres, one of the newest members of the CBC, also joined the event, sharing his perspective on the new work environment and challenges. Chiefs of Staff Melanee Farrah (Congresswoman Nikema Williams) and Chief of Staff Abbas Alawieh (Congresswoman Corey Bush) provided welcoming

remarks on their members' behalf. Also present were Chief of Staff Sarah Idrissu (Congressman Jamaal Bowman) and Chief of Staff Andrew Noh (Congresswoman Marilyn Strickland).

FSP YOUNG PROFESSIONALS STANDING COMMITTEE



In January, the FSP Young Professionals held their first kick-off event of the year, “A Collective Sigh: Living in the Moment, While Looking Ahead.” From robust conversation on recent events, to networking and making connections with other FSP members, the event was an awesome opportunity to get more involved with the YP committee.

In February, the YP committee attended the FSP Black History event. While not in person, the gathering was just as special. Widely attended, participants shared updates, financial services black history facts and shared experiences as black professionals. We heard motivating words from Chairwoman Joyce Beatty and eloquent testimony from Congressman Ritchie Torres. It was just the reminder needed to keep pushing towards excellence.

As we approach almost a year of working from home, we want to know what events you would like to see and how we can better support you! Whether it be a cocktail making happy hour to spur membership, or career development speaker series, we want to hear what you would like to gain from your membership from FSP. Be on the lookout for a survey from the YP committee seeking your feedback, prior to our Q2 meetup.

Lastly, as we look ahead, we know that everyone is waiting for the day when we can see each other in person again. We are actively looking at ways we can bring together the YP committee in a safe way later this year.

In the meantime, don't hesitate to reach out and share updates and opportunities with us!

Young Professionals Co-Chairs,

Tealanie Baldwin and Cortney Coutreyer

FSP JOB BANK

Did you know that FSP has a Job Bank exclusively for its members? We post job announcements and maintain a repository of resumes accessible to our sponsors. Look for recent postings or post your resume here:

www.financialservicesprofessionals.org/resume-bank.

MEMBER SHOUT OUTS



On February 15th, Alicia L. Lewis became Special Counsel to Acting Chairman Rostin Behnam at the CFTC. She is the third African American woman and the fourth African American in the CFTC's 45-year history in this role.

FSP wants to celebrate the achievements of our members. Let us know if you or one of your FSP colleagues has received a promotion, changed jobs, or had another career achievement that we can celebrate as a community. Send announcements to FSPProfessionalsdc@gmail.com.



On February 22nd, Tracey Wingate started as Chief of Staff at the Investment Company Institute (ICI). Tracey joins ICI from the CFTC where she was an Associate Director in the Office of International Affairs.



Kimberly Ross joined ACLI as Senior Vice President, Federal Relations in late December. She was the long-time Chief of Staff for The Honorable Joyce Beatty, Chair of the House Financial Services Subcommittee on Diversity and Inclusion and Chair of the Congressional Black Caucus.

Congratulations to our members!

MEMBER SPOTLIGHT – MEET ERNIE JOLLY



What is your current role and why do you enjoy it?

I am currently the Chief of Staff to Congressman Gregory W. Meeks, the Chairman of the House Foreign Affairs Committee, and senior Democrat on the Financial Services Committee. My current job is my dream job at this point in my career. I enjoy the fast-paced, intellectually stimulating, environment in the U.S. House of Representatives. I appreciate the opportunity to serve a community where many of my family members reside and my father continues to work in as a mechanic, and I am happy to do all these things under the leadership of a Member of Congress who is rooted in the same community and values as I am.

How did you start your career in the financial services industry?

I came to enjoy financial services issues serendipitously. During law school, I got my first A in Personal Income Tax, led by a former staffer on the Joint Committee on Taxation. I became enamored by the intersection of finance and policy and decided to take all my law school's five tax related courses. I also – by a mix of luck and preparation – got onto my law school's Business Law Review where I was published therein and served as an executive board member. And, after law school, I got accepted into the Congressional Black Caucus Foundation's Fellowship Program as its inaugural financial services fellow. Somehow the pieces all came together well, my love for these issues were realized, and I have been focusing on financial services ever since.

How has your work changed and how have you adapted during the pandemic?

My work has changed considerably during the pandemic because I switched jobs recently. This third stint on Capitol Hill is significantly different. Transitioning during a virtual environment, with the backdrop of an insurrection and inauguration, has been a whirlwind. Additionally, our office has had to staff up almost entirely. It's been challenging but I am reminded everyday about why this job is so important, especially when I hear from family members and friends that are struggling through this pandemic in New York. I feel blessed to have a job I love, but more so determined to make a meaningful contribution for the communities I love.

Why are you involved in FSP?

I am involved with FSP because the organization has given so much to me. When I was new to the Black financial services community in DC, FSP expanded my network and provided a host of mentors I could lean on during my journey. FSP and its members make me feel like I am home in DC, though I am far from my hometown of New York. This awesome resource is just a reflection of a decades-old tradition of people of color forming mutually beneficial associations to make it through challenging environments and circumstances. I am so proud to be a part of this one.

When I'm not at work, I'd rather be . . . in our current environment?

Normally, I prefer to be in New York with family. Given the COVID restrictions, however, the golf course has been an ok alternative.

TOP 5 REASONS TO JOIN FSP

1. Expand your network of Black professionals in all sectors of the financial services industry – congressional, government agencies, consulting firms, associations, law firms and corporations. There's strength in numbers. Your next friend, mentor, or connection to your next job may be sitting across from you at an FSP event!
2. Access to influential leaders in your career field. Special guests of FSP events include Rep. Joyce Beatty, Rep. Ritchie Torres, Rep. Gregory Meeks, Rep. Gwen Moore, Rep. Emanuel Cleaver, Former FHFA Director Mel Watt, Former SEC Chairman Jay Clayton, Former CFTC Chairman Heath Tarbert, Black bank CEOs, and many others!
3. Support quality programming both during and after COVID. Happy Hours and networking events, panel discussions, educational lunches, "Family Gatherings" and Zoom calls (for now!) will bring us together to learn from one another, relax, and share common stories.
4. Join the Young Professionals who have similar aspirations as you do. Navigate the "career waters" together in a social, non-pressured way.
5. Access job bank postings and resume referrals from potential employers. Many employers are searching for talented, Black financial services professionals at all levels. Starting soon, full job postings will only be available to official members of FSP. Sign up here:
www.financialservicesprofessionals.org/membership-levels/
6. Bonus reason: Join a community that will champion your professional and personal development, expand career and leadership opportunities, and celebrate your successes.

"If you want to go fast, go alone; if you want to go far, go together." (African Proverb)



Suggestion Box

Here is your opportunity to share your recommendations for future FSP events, programs, and resources, as well as newsletter content. Send your thoughts to:

FSProfessionalsdc@gmail.com



Contact Us

FSP Headquarters

2020 Pennsylvania Ave. N.W.

Suite #190

Washington, D.C. 20006

443.720.0057

fsprofessionalsdc@gmail.com

www.financialservicesprofessionals.org

MISSION STATEMENT

FSP is a non-partisan independent, volunteer association founded to enrich the careers of its members by sharing the resources of a broad network of professionals in the financial services industry.

The purposes of the organization are to:

- Advance the excellence of professionals in the public and private financial services industry.
- Provide a pipeline of diverse candidates for public and private financial services positions and a repository of financial services industry opportunities for FSP members.
- Build a strong, effective network of financial services professionals to open pathways to career development and business opportunities.
- Provide educational platforms and policy forums for the continued intellectual growth of professionals in the financial services industry.
- Provide a forum for developing relationships and sharing information to enhance individual economic power through tangible business and professional advancement.

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